

# Generating spacetimes with singularities

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Interdisciplinary junior scientist workshop:  
Mathematical General Relativity

March 7th, 2023

# Outline

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- Preliminary definitions
- Penrose's 1965 singularity theorem
- Singularities in the cosmological setting
- Singularities in the Gannon-Lee setting

In this talk [singularity](#) is synonymous with an [incomplete null geodesic](#).

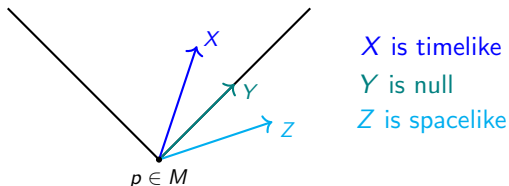
## Preliminary definitions

# Preliminary definitions

## Definitions

- A **spacetime**  $(M, g)$  is a time-oriented Lorentzian manifold. For nonzero vectors  $X \in T_p M$ , we have a decomposition:
  1.  $\langle X, X \rangle < 0$  iff  $X$  is **timelike**,
  2.  $\langle X, X \rangle = 0$  iff  $X$  is **null**,
  3.  $\langle X, X \rangle > 0$  iff  $X$  is **spacelike**.

If  $X$  is timelike or null, then  $X$  is a **causal** vector.



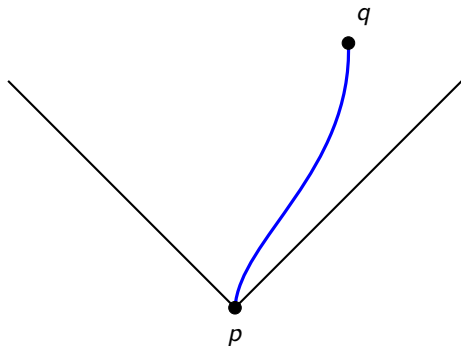
- **Time-oriented** means there is a timelike vector field  $X$  on  $M$ . If  $Y$  is causal, then we say  $Y$  is **future** or **past** if  $\langle X, Y \rangle$  is negative or positive, respectively.

# Preliminary definitions

- The **causal future** of a point  $p$  is the set

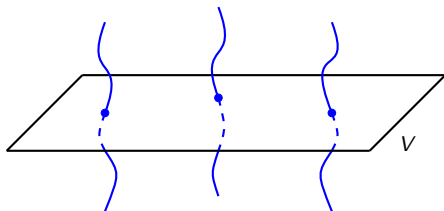
$$J^+(p) = \{q \mid \exists \text{ a future-directed causal curve from } p \text{ to } q\}$$

Similar definitions for  $J^+(S)$  for any  $S \subset M$  and the **causal past**  $J^-$ .



# Preliminary definitions

- A **Cauchy surface**  $V$  is a subset of  $M$  such that every inextendible future directed timelike curve intersects  $V$  exactly once.



## Remarks.

- Cauchy surfaces are automatically topological hypersurfaces.
- If  $M$  has a Cauchy surface  $V$ , then  $M$  is topologically  $\mathbb{R} \times V$ .
- In the 2000's Bernal and Sánchez improved the above result to a diffeomorphism and an orthogonal metric splitting.
- The existence of a Cauchy surface is equivalent to **global hyperbolicity** of  $M$ . In Lorentzian geometry, globally hyperbolic spacetimes often play the role of complete Riemannian manifolds.

## Penrose's 1965 Singularity Theorem



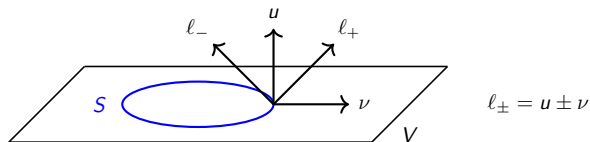
# Penrose's 1965 Singularity Theorem

## Trapped surfaces

- A surface  $S$  in a spacetime  $M$  (i.e. a codimension 2 submanifold) is **future trapped** if its mean curvature vector is past directed timelike.

### Initial data perspective

Suppose  $V$  is a spacelike Cauchy surface and  $S \subset V$  is two-sided.



- Null 2nd fundamental forms:  $\chi_{\pm}(X, Y) = \langle \nabla_X l_{\pm}, Y \rangle$ .
- Null expansion scalars:  $\theta_{\pm} = \text{tr}_S \chi_{\pm} = \text{tr}_S K \pm H$ 
  - $K$  is the 2nd fundamental form of  $V$  in  $M$
  - $H$  is the mean curvature of  $S$  in  $V$ .
- $S$  is **future trapped** if  $\theta_+ < 0$  and  $\theta_- < 0$ .

# Penrose's 1965 Singularity Theorem

## Theorem (Penrose (1965))

*Suppose  $V$  is a noncompact Cauchy surface in a spacetime  $M$  satisfying the null energy condition, i.e.  $\text{Ric}(X, X) \geq 0$  for all null  $X$ . If  $M$  contains a future trapped compact surface  $S$ , then there is an incomplete future directed null geodesic emanating from  $S$ .*

*Sketch of proof.*

Geodesic completeness  $\implies \partial J^+(S)$  is compact,  
 $\implies \partial J^+(S) \approx V$ ,  
 $\implies V$  is compact.  
 $\nrightarrow \leftarrow$



# Topology and singularities in cosmological spacetimes

## Cosmology in brief

- In the 1920's Hubble observed that the universe is expanding by measuring the redshift of distant galaxies.
- For the isotropic FLRW models of cosmology,

$$g = -dt^2 + a(t)^2 h,$$

an expansion means  $\dot{a}(t_0) > 0$  for our current cosmic time  $t_0$ .

- An expanding universe implies that the second fundamental form  $K$  is **positive definite** since for FLRW cosmology

$$K = \frac{\dot{a}(t)}{a(t)} h.$$

**Definition.** A spacelike Cauchy surface  $V$  is **expanding in all directions** if its second fundamental form  $K$  is positive definite.

# Topology and singularities in cosmology

Theorem (Galloway and L. (2017))

*Suppose  $V$  is a 3-dimensional compact spacelike Cauchy surface for  $M$ . Assume  $V$  is expanding in all directions and the NEC holds. If  $V$  is not a spherical space, then  $M$  is past null geodesically incomplete.*

$V$  is a [spherical space](#) if it's a quotient of the three-sphere.

*Remarks.*

- The assumption that  $V$  is expanding in all directions is stronger than the positive mean curvature assumption in [Hawking's cosmological singularity theorem](#).
- However, we more than make up for it since we only assume the NEC whereas Hawking assumes the SEC. Therefore our theorem applies to spacetimes with  $\Lambda > 0$  and inflationary models.
- De Sitter space and its quotients are examples of spacetimes with spherical space Cauchy surfaces expanding in all directions but are nevertheless complete.

# Topology and singularities in cosmology

## Proof of the theorem:

If there exists an embedded **minimal** surface  $S \subset V$ , then

$$\begin{aligned}\theta_{\pm} &= \text{tr}_S K \pm H \\ &= \text{tr}_S K \\ &> 0 \quad (\text{since } V \text{ is expanding in all directions}).\end{aligned}$$

Then  $S$  would be **past trapped**.

**Goal:** Find a minimal  $S \subset V$  and a covering  $p: \tilde{V} \rightarrow V$  such that  $\tilde{V}$  is noncompact and  $\tilde{S}$ , the lift of  $S$ , contains an isometric copy of  $S$ .

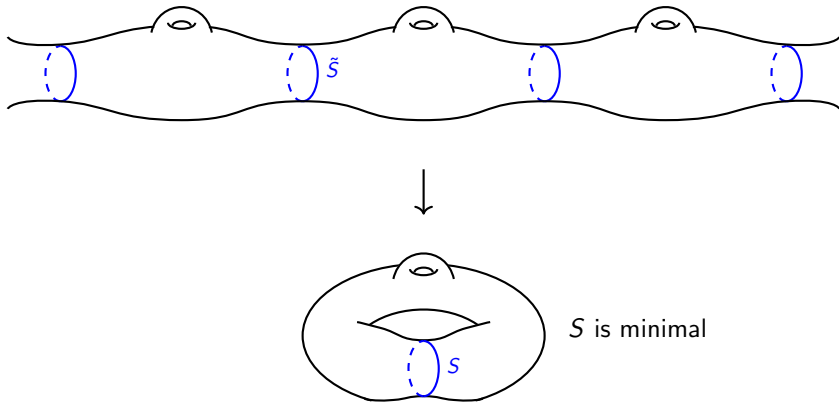
This induces a canonical spacetime covering  $P: \tilde{M} \rightarrow M$  with a Cauchy surface  $\tilde{V}$  in  $\tilde{M}$ . Apply Penrose's theorem in  $\tilde{M}$  to obtain a past incomplete null geodesic in  $\tilde{M}$ . This projects down to an incomplete null geodesic in  $M$ .

**Lemma:** If  $H_2(V, \mathbb{Z}) \neq 0$ , then the goal can be achieved.

# Topology and singularities in cosmology

## Visual proof of Lemma

$H_2(V, \mathbb{Z}) \neq 0 \implies$  there is an oriented, minimal, embedded  $S \subset V$  which is nonseparating.



# Topology and singularities in cosmology

Prime decomposition:

$$V \text{ is orientable} \implies V = V_1 \# \cdots \# V_n.$$

Two cases:

- (1)  $\pi_1(V_i) < \infty \implies V_i$  is spherical (elliptization conjecture).
- (2)  $\pi_1(V_i) = \infty$ . Then either:
  - (i)  $V_i = S^1 \times S^2$ , or
  - (ii)  $V_i$  is irreducible.

In either case (i) or (ii), the goal can be achieved:

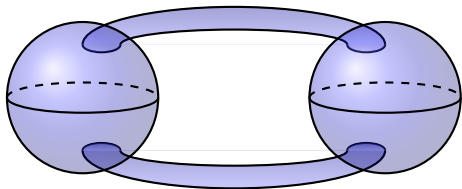
- Case (i)  $\implies H_2(V, \mathbb{Z}) \neq 0 \implies$  Goal is achieved.
- In case (ii), the positive resolution of the surface subgroup conjecture along with classical results from Schoen-Yau imply that there is a minimal immersion  $f: S_g \rightarrow V$  for some genus  $g \geq 1$  surface such that the induced homomorphism  $f_*$  is injective. Consider the covering  $p: \tilde{V} \rightarrow V$  such that  $p_*\pi_1(\tilde{V}) = f_*\pi_1(S_g)$ . Then  $\tilde{V}$  is noncompact and  $S_g$  is minimal and immersed in  $\tilde{V}$  via the map lifting criterion. Goal is achieved.



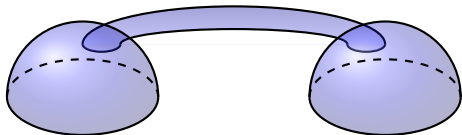
# Topology and singularities in cosmology

The remaining case is when  $V$  is the connected sum of two or more spherical spaces. In this case, there is a covering  $\tilde{V}$  with  $H_2(\tilde{V}, \mathbb{Z}) \neq 0$ :

$S^1 \times S^2$



$RP^3 \# RP^3$



## Generating examples:

Let  $V$  be any compact 3-manifold. There is a metric  $h$  on  $V$  such that  $R_h$  is constant (Yamabe problem).

The vacuum Einstein constraint equations with  $\Lambda$ :

$$\begin{aligned}R_h - |K|_h^2 + (tr_h K)^2 &= 2\Lambda \\ D_i K^i_j - D_j K^i_i &= 0 \quad (D \text{ is the } h\text{-covariant derivative}).\end{aligned}$$

Choose  $K = h$ . Then

- $K$  is positive definite (expanding in all directions),
- $DK = 0$  so the second constraint is satisfied,
- The LHS of the first constraint is a constant.

Pick  $\Lambda$  so that the first constraint is satisfied. Then

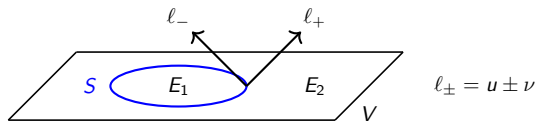
$$V \text{ is not spherical} \implies \text{MGHD is past null incomplete.}$$

## Singularities in the Gannon-Lee setting

# Singularities in the Gannon-Lee setting

## Setting:

$S \approx S^2$  separates a Cauchy surface  $V$  into  $E_1$  and  $E_2$ :



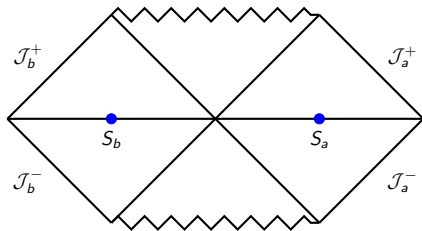
## Theorem (Gannon-Lee)

Assume

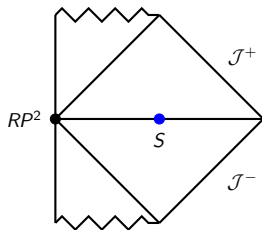
- the null energy condition holds,
- $S$  is future inner trapped (i.e.  $\theta_- < 0$ ),
- $E_2$  is noncompact.

If  $\pi_1(E_1)$  is nontrivial, then  $M$  is future null geodesically incomplete.

# Singularities in the Gannon-Lee setting



The Schwarzschild  $RP^3$  geon:



## Generating examples:

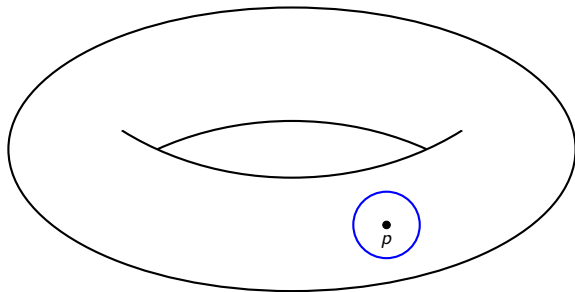
- Let  $\mathcal{V}$  be a Riemannian manifold with positive scalar curvature.
- Fix  $p \in \mathcal{V}$ . The Green's function at  $p$  for the conformal Laplacian on  $\mathcal{V}$  exists and is strictly positive.
- Consequently,  $V := \mathcal{V} \setminus \{p\}$  admits a metric  $h$  with  $R_h = 0$  and is asymptotically flat with  $p \in \mathcal{V}$  representing infinity.
- Let  $(M, g)$  denote the MGHD of  $(V, h, K = 0)$  for the vacuum Einstein equations.

Then

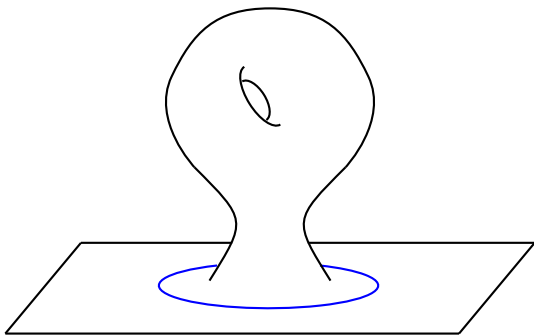
$$\pi_1(\mathcal{V}) \text{ is nontrivial} \quad \implies \quad (M, g) \text{ is future null incomplete.}$$

# Singularities in the Gannon-Lee setting

$$\mathcal{V} = S^1 \times S^2:$$



## Singularities in the Gannon-Lee setting





Thank you!